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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,684	08/06/2001	Ramaswamy Narayanan	6818-24

CONFIRMATION NO. 1544

## FORMALITIES LETTER



\*OC000000006448650\*

QUARLES & BRADY, L.L.P.  
FOURTH FLOOR  
222 LAKEVIEW AVENUE  
WEST PALM BEACH, FL 33402



Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/19/2001 BABRAHA1 00000000 0995654

65.00 OP

01 FC:205

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

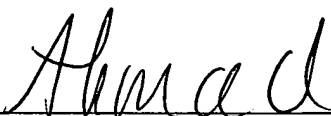
**RECEIVED**

Akerman, Senterfitt &amp; Eidson, P.A.

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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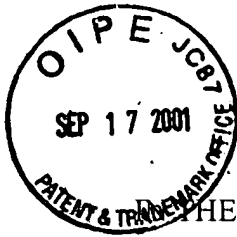
*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



#5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: NARAYANAN

Application No.: 09/923,684

Examiner:

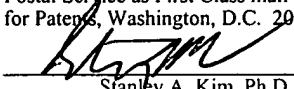
Date Filed: August 6, 2001

Group:

For: ASSOCIATION OF SIM2 WITH CANCER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on Sept. 10, 2001

  
Stanley A. Kim, Ph.D., Esq. Reg. No. 42,730

RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

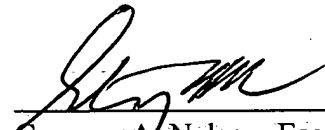
In response to the Notice To File Missing Parts Of Nonprovisional Application mailed August 20, 2001 (the "Notice") submitted herewith is a duly executed Declaration for the above-identified application. Also submitted herewith is the surcharge of \$65.00 required under 37 C.F.R. § 1.16(e), and a copy of the Notice.

Applicant herewith submits a substitute computer readable form (CRF) copy and paper copy of the Sequence Listing. The undersigned attorney of record believes that the content of the

substitute CRF is the same as the paper copy of the Sequence Listing. No new matter has been added.

Respectfully submitted,

Date: September 10, 2001



Gregory A. Nelson, Esq.

Registration No. 30,577

Stanley A. Kim, Ph.D., Esq.

Registration No. 42,730

AKERMAN SENTERFITT

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(561) 653-5000

Docket No. 6818-24

Sector JF  
Box Seq



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: NARAYANAN

Application No. 09/923,684

Examiner:

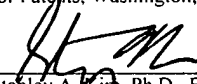
Date Filed: August 6, 2001

Group:

For: ASSOCIATION OF SIM2 WITH CANCER

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on Sept. 10, 2001

  
Stanley A. Kim, Ph.D., Esq.

Reg. No. 42,730

**TRANSMITTAL LETTER**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Sir:

Please find enclosed for filing the following:

- X Response to Notice to File Missing Parts of Nonprovisional Application;
- X Copy of Notice to File Missing Parts of Non-Provisional Application;
- X Executed Combined Declaration and Power of Attorney for Patent Application;
- X Check in the amount \$65.00;
- X Substitute Computer Readable form (CRF) copy of the Sequence Listing (paper copy and computer readable form on floppy disk);
- X Change of Address Notification; and
- X 1 postcard.

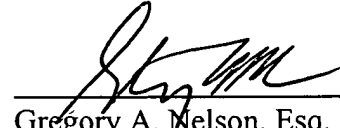
Please charge any underpayment to Deposit Account No. 50-0951. This transmittal letter

is submitted in duplicate.

Date: September 10, 2001

Docket No. 6818-24

Respectfully submitted,

  
\_\_\_\_\_  
Gregory A. Nelson, Esq.  
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